**UCSB Office of Research – Sponsored Projects**

**PI Certification for ASSISTANCE AWARDS**

**containing the NASA CHINA FUNDING RESTRICTION**

When submitting proposals and accepting NASA grants or cooperative agreements, the University is required to accept the clause show below. In order to assure compliance, the Principal Investigator is required to provide the certification in the box below **PRIOR TO PROPOSAL SUBMISSION**.

**Assurance of Compliance – China Funding Restriction**

**(DEVIATION FEB 2012)[[1]](#footnote-1)**

*(iv)  An Assurance of Compliance with The Department of Defense and Full-Year Appropriation Act, Public Law 112-10 Section 1340(a); The Consolidated and Further Continuing Appropriation Act of 2012, Public Law 112-55, Section 539; and future-year appropriations herein after referred to as “the Acts”, whereas:*

*(1)   NASA is restricted from using funds appropriated in the Acts to enter into or fund any grant or cooperative agreement of any kind to participate, collaborate, or coordinate bilaterally with China or any Chinese-owned company, at the prime recipient level and at all subrecipient levels, whether the bilateral involvement is funded or performed under a no-exchange of funds arrangement.*

*(2)   Definition:  “China or Chinese-owned Company” means the People’s Republic of China, any company owned by the People’s Republic of China, or any company incorporated under the laws of the People’s Republic of China.*

*(3)   The restrictions in the Acts do not apply to commercial items of supply needed to perform a grant or cooperative agreement.*

*(4)   By submission of its proposal, the proposer represents that the proposer is not China or a Chinese-owned company, and that the proposer will not participate, collaborate, or coordinate bilaterally with China or any Chinese-owned company, at the prime recipient level or at any subrecipient level, whether the bilateral involvement is funded or performed under a no-exchange of funds arrangement.*

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| I have completed the NASA Questionnaire for Assistance Awards on behalf of myself and all individuals performing any part of the scope of work of project identified below, and have determined and hereby certify that in accordance with the above:  Bilateral participation, collaboration, or coordination with The People's Republic of China (PRC), any company owned by the People's Republic of China or with any company (**including a Chinese university)** incorporated under the laws of the People's Republic of China (including Hong Kong and Macau, but not Taiwan)will **not** take place as part of the NASA scope of work for this award, whether or not such participations, collaborations, or coordinations are funded by the NASA award.  Further, I certify that I will not enter or cause The Regents to enter into a bilateral subaward, memorandum of understanding, collaboration agreement, or any other type of written bilateral agreement with the PRC as defined above. (Vendor agreements for acquisition of commercial and non-developmental items are excluded from this certification.)  ORBiT Record Number or Award Number \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Lead PI Signature \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Lead PI Typed Name \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

1. As of 9/30/13 [↑](#footnote-ref-1)